

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Gupta et al.**

Serial No.: **Not Assigned**

Filed: Herewith

For: **Automated Cartridge Exchange  
System and Method for High-Density  
Automated Tape Libraries**

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§ **Group Art Unit: Not Assigned**  
§  
§ **Examiner: Not Assigned**  
§  
§ **Attorney Docket No.: 2003-115-TAP**  
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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 19-4545. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 19-4545. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

Respectfully submitted,

Date: March 1, 2004

A handwritten signature in black ink, appearing to read "T. R. Schulte", written over a horizontal line.

Timothy R. Schulte

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<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>2003-115-TAP</b>		SERIAL NO. <b>Not Assigned</b>	
			APPLICANT <b>Gupta et al.</b>			
			FILING DATE		GROUP ART UNIT <b>Not Assigned</b>	

  

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	
	AA 6,648,574 B2	Nov. 18, 2003	Williams	414/277	Jun. 25, 2002	
	AB 6,488,462 B1	Dec. 3, 2002	Williams	414/277	Jan. 12, 2000	
	AC 6,059,509	May 9, 2000	Ostwald	414/277	Jul. 1, 1998	
	AD 6,068,436	May 30, 2000	Black et al.	414/277	Jun. 24, 1998	
	AE 6,085,123	Jul. 4, 2000	Baca et al.	700/214	Apr. 1, 1998	
	AF 6,011,669	Jan. 4, 2000	Apple et al.	360/92	Apr. 27, 1998	
	AG 6,574,173 B1	Jun. 3, 2003	Manes	369/30.48	Jan. 28, 2000	
	AH 5,700,125	Dec. 23, 1997	Falace et al.	414/276	Mar. 27, 1996	

  

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	

  

OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>		

  

RELATED PATENT APPLICATIONS				
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

  

DATE CONSIDERED	EXAMINER
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.